

**ZONE 7 WATER AGENCY  
 OPERATED EQUIPMENT SUPPLY FOR ENGINEERED REPAIRS AND UPGRADES TO  
 FLOOD CONTROL FACILITIES  
 Livermore, Pleasanton, Dublin California  
 Project 296-22  
 ADDENDUM NO. 1  
 April 4, 2022**

This Addendum No. 1 ("Addendum") is dated the date set forth above and modifies certain Bidding Documents issued by the Alameda County Flood Control and Water Conservation District, acting by and through its Zone 7 Water Agency ("District") in connection with the District's project: *Operated Equipment Supply for Engineered Repairs and Upgrades to Flood Control Facilities*. All capitalized terms not otherwise defined herein shall have the meanings provided in the Bidding Documents. There are no other amendments to the Bidding Documents other than expressly contained in this Addendum No. 1.

<b>The following clarifications and/or modifications shall be incorporated into plans and specifications for the above-referenced project and shall become part of the Contract Documents. All other provisions and requirements shall remain unchanged.</b>		
<b>CONTRACT DOCUMENTS AND SPECIFICATIONS</b>		
Addendum Item	Document/ Section	Location and Description of Change
1	Document 450	<p><b>Modification to Document 00450</b></p> <p>Replace Document 00450 with Document 00450A</p>

**Please be reminded that all bidders shall acknowledge receipt of this Addendum No. 1 in Document 00400 (Bid Form) and failure to acknowledge addendum in the Bid Form shall render the bid non-responsive and may be cause for its rejection.**

**Acknowledgement of receipt of Addendum No. 1 for the Operated Equipment Supply for Engineered Repairs and Upgrades to Flood Control Facilities.**

Please also sign and email a copy of this page to Jessica Traynor, Zone 7 Water Agency, at [jtraynor@zone7water.com](mailto:jtraynor@zone7water.com) to acknowledge receipt of Addendum No. 1 for this project.

\_\_\_\_\_  
Signature and Print Name

\_\_\_\_\_  
Date

\_\_\_\_\_  
Company

**DOCUMENT 00450A****STATEMENT OF QUALIFICATIONS FOR CONSTRUCTION WORK****1. GENERAL INFORMATION**

- A. In Document 00100 (Advertisement for Bids) the Alameda County Flood Control and Water Conservation District, acting by and through its Zone 7 Water Agency ("District"), has indicated that it will receive sealed Bids for the Contract for the construction of the **Operated Equipment Supply for Engineered Repairs and Upgrades to Flood Control Facilities, No. 296-22**. The Contract will require the supply of fully operated and maintained construction equipment and the furnishing of labor and material to implement engineered repairs and upgrades to flood control facilities on an as-needed basis, including, but not limited to, the end-times referenced in Paragraph 3 of Document 00100 (Advertisement for Bids).
- B. District will accept Bids only from Bidders duly licensed in accordance with the California Business & Professions Code. Additionally, Bidder shall meet the following requirements in order to have a responsive bid.
1. In the five (5) years immediately preceding the submission of the Bid, the bidder shall have worked continuously as an operating entity engaged in the performance of work that are similar in nature and complexity. If the Bidder is a joint venture, each joint venture partner shall have five (5) years experience as a continuously operating entity engaged in the performance of similar work within the last five (5) years.
  2. Within the last five (5) years, the Bidder shall have completed two (2) construction projects with a contract dollar amount of at least **\$900,000** for each project. Each project shall also include:
    - A. Construction work in a live stream, river, creek, or flood control channel; AND
    - B. Installation and maintenance of a water diversion system for 3 CFS or greater flows to allow project construction in compliance with regulatory permits; AND
    - C. Installation of riprap embankment and/or riprap repairs for bank slides with a riprap size of 1/4 ton or greater.
  3. Bidder's Project Manager/Superintendent shall have a minimum of five (5) years of experience in the relevant scope of work of this project and have completed two (2) construction projects with a contract dollar amount of at least **\$900,000** for each project. Each project shall also include:

- A. Construction work in a live stream, river, creek, or flood control channel;  
AND
- B. Installation and maintenance of a water diversion system for 3 CFS or greater flows to allow project construction in compliance with regulatory permits; AND
- C. Installation of riprap embankment and/or riprap repairs for bank slides with a riprap size of 1/4 ton or greater; AND
- D. The individual named Project Manager for this bid held the position of Project Manager / Superintendent for each project.

## **2. REQUIRED CONTENTS OF SOQ SUBMISSION**

- A. Completed Questionnaire. Bidder shall include a completed "Statement of Qualification Questionnaire" in the form attached to this Document 00450 as Attachment "A".

STATEMENT OF QUALIFICATIONS QUESTIONNAIRE, ATTACHMENT "A", FOLLOWS ON NEXT PAGE

**ATTACHMENT "A" -- STATEMENT OF QUALIFICATION QUESTIONNAIRE**

Bidders shall complete the entire Statement of Qualification Questionnaire and submit it in accordance with Document 00200 (Instructions to Bidders) and Document 00450 (Statement of Qualifications). Failure to complete the questionnaire or inclusion of any false or erroneous statement(s) may render the Bid nonresponsive or affect the District's determination of a Bidder's responsibility.

**CONTACT INFORMATION**

Firm Name: \_\_\_\_\_ Check One: Corporation  
(as it appears on license) Partnership  
Sole Prop.

Corporate Tax Identification Number: \_\_\_\_\_

Contact Person: \_\_\_\_\_

Address: \_\_\_\_\_

Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

E-mail Address: \_\_\_\_\_

If firm is a sole proprietor or partnership:

Owner(s) of Company \_\_\_\_\_

Prospective Bidder's License Number(s):

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**PART A: GENERAL INFORMATION**

Complete Part A before proceeding to Part B.

- 1. Does Bidder possess a valid and current California Contractor's "A" license for the work proposed? Yes \_\_\_\_\_ No \_\_\_\_\_  
If Yes, attach a copy of the license.
- 2. Does Bidder possess a valid and current California Public Works Contractor Registration number? Yes \_\_\_\_\_ No \_\_\_\_\_
- 3. Does Bidder have the capability to meet insurance requirements per Document 00821, Insurance? Yes \_\_\_\_\_ No \_\_\_\_\_  
If Yes, attach a letter from your insurance company or insurance agent stating that the firm will be able to obtain insurance per Document 00821 and relevant sections of Document 00800.
- 4. Does Bidder have the capability to provide bonds as required in Document 00700 General Conditions? Yes \_\_\_\_\_ No \_\_\_\_\_

**Bidder's bid will be automatically disqualified if any answer to questions 1, 2, 3 or 4 is "No".**

- 5. Has Bidder's California contractor's license been revoked at any time in the last five (5) years? Yes \_\_\_\_\_ No \_\_\_\_\_
- 6. Has Bidder been "default terminated" by an owner (other than for convenience), or has a Surety completed a contract for Bidder within the last five years? Yes \_\_\_\_\_ No \_\_\_\_\_
- 7. Has Bidder been cited more than three (3) times for failure to pay prevailing wages in the last five (5) years? Yes \_\_\_\_\_ No \_\_\_\_\_

**Bidder's bid will be automatically disqualified if any answer to questions 5, 6 or 7 is "Yes", unless compelling evidence of non-culpability is provided which District may evaluate in its sole discretion.**

## **PART B: SAFETY, PREVAILING WAGE, DISPUTES AND BONDS**

### **SAFETY:**

Zone 7 finds worker-safety to be of utmost importance and therefore requires its Contractors to demonstrate that it possesses the skill and experience to foresee and to adopt protective measures to adequately and safely perform the construction work with respect to such hazards. Bidder acknowledges that there are certain inherent conditions existent in the construction of the particular facilities which may create, during the construction program, unsafe conditions hazardous to persons and property. The following information will be used to determine if Bidders meet the minimum safety requirements for this project.

1. To qualify to bid and be awarded the project Bidder must not have been cited by CAL OSHA for any "serious," "willful," or "repeat" violations of its safety or health regulations more than three (3) times in the past five (5) years, unless compelling evidence of non-culpability is provided which Zone 7 may evaluate in its sole discretion. Similarly, Bidder must not have been cited by the federal OSHA for more than three (3) violations in the past five (5) years, unless compelling evidence of non-culpability is provided which Zone 7 may evaluate in its sole discretion

(a) Has CAL OSHA cited your firm for any "serious," "willful," or "repeat" violations of its safety or health regulations in the last five (5) years?

Yes \_\_\_\_\_ No \_\_\_\_\_

If the answer is "Yes," attach description of each citation and a detailed explanation of the circumstances. **(If answer is "Yes," and the number of citations exceed three (3), Bidder's bid will be automatically disqualified, unless compelling evidence of non-culpability is provided which District may evaluate in its sole discretion.)**

(b) Has the federal OSHA cited and assessed penalties against your firm in the last five (5) years?

Yes \_\_\_\_\_ No \_\_\_\_\_

If the answer is "Yes," attach description of each citation and a detailed explanation of the circumstances. **(If answer is "Yes," and the number of citations exceed three (3), Bidder's bid will be automatically disqualified, unless compelling evidence of non-culpability is provided which District may evaluate in its sole discretion.)**

2. How often does Bidder require documented safety meetings be held for:  
Field Supervisor Weekly \_\_\_\_ Bi-weekly \_\_\_\_ Monthly \_\_\_\_ Less than monthly \_\_\_\_  
Employees Weekly \_\_\_\_ Bi-weekly \_\_\_\_ Monthly \_\_\_\_ Less than monthly \_\_\_\_  
New Hires Weekly \_\_\_\_ Bi-weekly \_\_\_\_ Monthly \_\_\_\_ Less than monthly \_\_\_\_  
Subcontractors Weekly \_\_\_\_ Bi-weekly \_\_\_\_ Monthly \_\_\_\_ Less than monthly \_\_\_\_

3. How often does Bidder conduct documented safety inspections?  
Quarterly \_\_\_\_ Semi-annually \_\_\_\_ Annually \_\_\_\_ Other \_\_\_\_

4. Does Bidder have home office safety representatives who visit/audit the job site?  
Quarterly \_\_\_\_ Semi-annually \_\_\_\_ Annually \_\_\_\_ Other \_\_\_\_

5. (a) List Bidder's Interstate Experience Modification Rate for the last five years.

2017: \_\_\_\_\_  
2018: \_\_\_\_\_  
2019: \_\_\_\_\_  
2020: \_\_\_\_\_  
2021: \_\_\_\_\_

(b) Use Bidder's last year's Cal/OSHA 300 log to fill in the following:

i) Number of lost workday cases \_\_\_\_\_  
ii) Number of medical treatment cases \_\_\_\_\_  
iii) Number of fatalities \_\_\_\_\_

(c) Employee hours worked last year \_\_\_\_\_

(d) State the name of Bidder's safety engineer/manager or Site Safety Officer (for this Project):

NAME: \_\_\_\_\_ TITLE \_\_\_\_\_

**PREVAILING WAGE AND LABOR CODE PROVISIONS**

6. Has Bidder been fined, penalized or otherwise found to have violated any prevailing wage or labor code provision?

Yes \_\_\_\_\_ No \_\_\_\_\_ If "Yes", attach detailed description of each occurrence.

**LICENSE PROVISIONS**

7. Has Bidder changed names or license numbers in the past 10 years?

Yes \_\_\_\_\_ No \_\_\_\_\_

If "Yes", please state reason for change, previous name and/or license number:

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**DISPUTES**

8. Have any claims or legal actions been filed against Bidder in court or arbitration in the past five years? Yes \_\_\_\_\_ No \_\_\_\_\_.

If Bidder answers "Yes" Bidder shall identify any claims or legal action filed in court or arbitration against Bidder in the past five years which concerned Bidder's work on a construction project. For each claim, if any, the Bidder shall provide the project name, date of the claim, name of the claimant, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution). Are there any pending claims against your company that should you lose the claim(s), would adversely affect your financial position or your ability to meet your obligations if awarded the contract for this project? If so, please explain.

9. Have any claims or legal actions been filed by Bidder against a project owner in the past five years? Yes \_\_\_\_\_ No \_\_\_\_\_

If Bidder answers "Yes", Bidder shall identify any claims or legal actions filed in court or arbitration by Bidder against a project owner in the past five years concerning work on a project or payment for a contract. For each claim, if any, the Bidder shall provide the project name, date of the claim, a brief description of the nature of the claim, the court in which the case was filed and a brief description of the status of the claim (pending or, if resolved, a brief description of the resolution). Are there any pending claims filed by your company against a project owner that should you lose the claim(s), would adversely affect your financial position or your ability to meet your obligations if awarded the contract for this project? If so, please explain.



**PART C: FINANCIAL, INSURANCE, AND BONDING**

- 1. Has Bidder ever reorganized under the protection of the bankruptcy laws?  
Yes\_\_\_\_ No \_\_\_\_\_ If yes, please state when \_\_\_\_\_
  
- 2. Has Bidder ever had insurance terminated by a carrier within the last five years?  
Yes \_\_\_\_\_ No \_\_\_\_\_

If yes, explain below with correlating cross-reference to this paragraph of the questionnaire.

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- 3. Bonding Capacity - Provide documentation from Bidder's surety identifying the following:  
Name of bonding company/surety: \_\_\_\_\_  
Name of Surety Agent: \_\_\_\_\_  
Surety Agent address: \_\_\_\_\_  
Surety Agent phone number: \_\_\_\_\_  
Is surety a California-admitted surety? Yes \_\_\_\_\_ No \_\_\_\_\_  
What is Bidder's total bonding capacity? \_\_\_\_\_  
What percentage rate does Bidder pay for bonds? \_\_\_\_\_

**PART D: EXPERIENCE**

Summarize project experience below and provide the detailed project information requested.

**EXPERIENCE OF PRIME CONTRACTOR/FIRM:** Bidder shall provide information for at least two (2) completed construction projects within the last five (5) years with a contract dollar amount of at least \$900,000 for each project. Each project shall also include

- A. Construction work in a live stream, river, creek, or flood control channel; AND
- B. Installation and maintenance of a water diversion system for 3 CFS or greater flows to allow project construction in compliance with regulatory permits; AND
- C. Installation of riprap embankment and/or riprap repairs for bank slides with a riprap size of 1/4 ton or greater.

Names and references shall be current and verifiable. If a separate sheet is used, it shall contain all of the following information:

**1. Project Name:** \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact (name, phone number and email): \_\_\_\_\_

Architect/Engineer: \_\_\_\_\_

Architect/Engineer Contact (name and phone number): \_\_\_\_\_

Const. Mgr. or Project Mgr. (name and phone number): \_\_\_\_\_

Description of Project, Scope of Work Performed, Describe how project demonstrates required experience: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Total Construction Cost: \_\_\_\_\_

Total Change Order Amount: \_\_\_\_\_

Original Scheduled Date of Completion: \_\_\_\_\_

Time Extensions Granted (number of Days): \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

Number of Stop Notices filed by subcontractors or suppliers: \_\_\_\_\_

**2. Project Name:** \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact (name and phone number): \_\_\_\_\_

Architect/Engineer: \_\_\_\_\_

Architect/Engineer Contact (name and phone number): \_\_\_\_\_

Const. Mgr. or Project Mgr. (name and phone number): \_\_\_\_\_

Description of Project, Scope of Work Performed, Describe how project demonstrates required experience: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Total Construction Cost: \_\_\_\_\_

Total Change Order Amount: \_\_\_\_\_

Original Scheduled Date of Completion: \_\_\_\_\_

Time Extensions Granted (number of Days): \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

Number of Stop Notices filed by subcontractors or suppliers: \_\_\_\_\_

**EXPERIENCE OF PROJECT MANAGER/SUPERINTENDENT:** Bidder shall name below the Project Manager/Superintendent who will be assigned to this Project.

Name of Project Manager: \_\_\_\_\_

Number of Years of Total Construction Experience as a Project Manager/Superintendent: \_\_\_\_\_

Number of Years as a Project Manager for your company: \_\_\_\_\_ years

As it relates to the Project Manager/Superintendent, Bidder shall submit information for at least two (2) completed construction projects within the last five (5) years with a contract dollar amount of at least \$900,000 for each project. Each project shall also include

- A. Construction work in a live stream, river, creek, or flood control channel; AND
- B. Installation and maintenance of a water diversion system for 3 CFS or greater flows to allow project construction in compliance with regulatory permits; AND
- C. Installation of riprap embankment and/or riprap repairs for bank slides with a riprap size of 1/4 ton or greater; AND
- D. The individual named Project Manager for this Bid held the position of Project Manager / Superintendent for each project.

**1. Project Name:** \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact (name, phone number and email): \_\_\_\_\_

Architect/Engineer: \_\_\_\_\_

Architect/Engineer Contact (name and phone number): \_\_\_\_\_

Const. Mgr. or Project Mgr. (name and phone number): \_\_\_\_\_

Description of Project, Scope of Work Performed, Describe how project manager/superintendent demonstrates required experience: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Total Construction Cost: \_\_\_\_\_

Total Change Order Amount: \_\_\_\_\_

Original Scheduled Date of Completion: \_\_\_\_\_

Time Extensions Granted (number of Days): \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

Number of Stop Notices filed by subcontractors or suppliers: \_\_\_\_\_

**2. Project Name:** \_\_\_\_\_

Location: \_\_\_\_\_

Owner: \_\_\_\_\_

Owner Contact (name and phone number): \_\_\_\_\_

Architect/Engineer: \_\_\_\_\_

Architect/Engineer Contact (name and phone number): \_\_\_\_\_

Const. Mgr. or Project Mgr. (name and phone number): \_\_\_\_\_

Description of Project, Scope of Work Performed, Describe how project manager/  
superintendent demonstrates required experience: \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Total Construction Cost: \_\_\_\_\_

Total Change Order Amount: \_\_\_\_\_

Original Scheduled Date of Completion: \_\_\_\_\_

Time Extensions Granted (number of Days): \_\_\_\_\_

Actual Date of Completion: \_\_\_\_\_

Number of Stop Notices filed by subcontractors or suppliers: \_\_\_\_\_

Bidder hereby declares under penalty of perjury that all the information provided in this questionnaire is true and correct.

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SIGNATURE

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TITLE

END OF DOCUMENT

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