

Zone 7 Water Agency

100 North Canyons Parkway
Livermore, CA 94551



Request for Proposal (RFP) No. 2024-08

for

Supervisory Control and Data Acquisition (SCADA)

MASTER PLAN

POSTED:	August 8, 2023
QUESTIONS:	September 1, 2023, 2:00pm
DUE:	September 15, 2023, 2:00pm

**Zone 7 Water Agency
Request for Proposal No. 2024-08
SCADA Master Plan**

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I. OBJECTIVES

Alameda County Flood Control and Water Conservation District, Zone 7, (hereinafter referred to as “the Agency” or “Zone 7”) is requesting proposals for development of a SCADA Master Plan. The SCADA Master Plan document will serve as Zone 7’s SCADA strategy and workplan for managing and maintaining the system consistent with Zone 7 policy and strategic plans. The plan should address the needs of the SCADA system for the next 10 years.

II. DESCRIPTION OF THE AGENCY

The following introductory section is provided to help describe the required scope and scale of the SCADA Master Plan. Zone 7 is interested in a plan that prioritizes cost-effective investments in SCADA system reliability, function, maintenance, security, documentation, and resource management.

General

Zone 7 is the wholesale treated drinking water supplier to retailers serving over 266,000 people in Pleasanton, Livermore, Dublin, and the Dougherty Valley area of San Ramon (through special agreement with the Dublin San Ramon Services District). Zone 7 also supplies untreated water for irrigation of about 5,000 acres of agriculture property, primarily South Livermore Valley vineyards. Zone 7 also provides regional flood protection within the service area and administers oversight of the local groundwater basin—the Livermore Valley Groundwater Basin. Of the approximately 55,000 acre-feet of water used in the Agency’s service area, Zone 7 estimates that approximately 53% of water use is residential, approximately 11% is commercial/industrial, approximately 14% is agricultural, approximately 18% is landscape/other, and approximately 4% is public water use. The 55,000 acre-feet of water referred to above includes treated water provided to retailers by Zone 7, local groundwater pumped by the water retailers, untreated agricultural irrigation water provided by the State Water Project, and local surface water.

Zone 7 currently has about 100 employees. The main office and administration building is located at 100 North Canyons Parkway, in Livermore.

Zone 7 is governed by a Board of Directors that represents the public in an open and transparent process. The Board consists of seven publicly elected members and provides strategic guidance and planning for Zone 7’s policies, programs, and finances. The draft SCADA Master Plan developed under this scope of work will be presented to the Board for its consideration and approval.

Zone 7 Services and Facilities

Zone 7 provides three primary services – production of drinking water for wholesale customers (water supply), flood protection, and local groundwater management. The SCADA system is used only by the drinking water treatment and transmission systems. For the purposes of this SCADA Master Plan RFP, the flood protection and groundwater management functions of the agency should not be considered.

Zone 7’s customers are primarily the City of Livermore, City of Pleasanton, the Dublin San Ramon Services District, California Water Service – Livermore, and a small number of

agricultural and other untreated water customers. Water managed by Zone 7 ultimately serves about 266,000 residents and 5,000 acres of local agricultural property.

Zone 7 produces potable drinking water via treatment of surface water and pumping of groundwater. Zone 7 is a State Water Project Contractor and receives an allocation of surface water from the State Water Project via the South Bay Aqueduct. Groundwater is pumped from the Livermore Valley Groundwater Basin. Wholesale water supply assets include two water treatment plants (Patterson Pass, and Del Valle), over 40 miles of potable water transmission lines and appurtenances, 10 groundwater production wells, 1 groundwater demineralization plant, 3 booster pump stations, and 1 storage tank reservoir. In addition, Zone 7 is currently developing two PFAS treatment facilities. Zone 7's ability to produce drinking water from surface water at the treatment plants, or via groundwater in the wellfield, provides operational flexibility.

Zone 7 has approximately 70 PLCs distributed between the treatment plants and the transmission system. Communications utilize fiber connections (from a service provider) to major facilities and 900 MHz spread-spectrum radios for distribution sites. The system is managed by the Agency Control Systems Engineer and maintained by the Agency Maintenance Division. Except for larger capital projects, PLC programming and SCADA configuration normally utilize in-house Agency resources. Additional programming tasks are outsourced to the Agency's SCADA contractor as needed. Operational technology and networking needs are outsourced to the Agency's current informational technology contractor.

III. SCOPE OF SERVICES

A. Objective

The Agency is requesting proposals for development of a SCADA Master Plan. The SCADA Master Plan must include:

- An assessment of current conditions of the Agency SCADA system, including hardware, software, standards documentation, communications, and cybersecurity.
- A summary of the Agency's SCADA needs and requirements based on stakeholder feedback.
- Identification of suggested tasks and projects.
- An evaluation framework and scoring criteria for comparing potential projects.
- A prioritized list of projects, including a draft implementation schedule and budget estimates.

The SCADA Master Plan shall document these assessments and tasks. The document will serve as Zone 7's SCADA strategy and framework for managing and maintaining the system consistent with Zone 7 policy and strategic plans. The plan should address operation and maintenance of the SCADA system for the next 10 years.

B. Scope of Work

2. Background

a. Facilities

The Zone 7 Water Agency owns and operates the following:

- Two (2) Conventional Treatment Plants
- One (1) Reverse Osmosis Plant
- Ten (10) Wells
- Three (3) Pump Stations
- Four (4) Distribution Pressure/Flow Control Stations
- Three (3) Reservoir Tanks
- Twenty-five (25) Turnouts

b. Systems

The Zone 7 Water Agency utilizes, but is not limited to, the following systems and equipment:

- AVEVA System Platform
- Schneider Modicon PLCs (Concept and EcoStruxure Software)
- Schneider Magelis LOIs (Vijeo Software)
- Schneider Drives and Starters (SoMove Software)
- GE Orbit 900 MHz Unlicensed, Spread-Spectrum Radios
- Trango 4.9 GHz Microwave Radios
- AT&T ASE Fiber Network
- Dell PCs and Servers
- Microsoft Windows Computer Operating Systems
- VMWare
- Cisco Routers

c. Personnel

The Zone 7 Water Agency utilizes the following staff to manage the SCADA system:

- Associate Control Systems Engineer
- Up to five (5) Instrument Technicians
- Maintenance and Construction Supervisor
- Maintenance Manager
- Operations Manager

3. Assessment and Analysis of Current Conditions

At a minimum, the consultant should investigate and evaluate the following SCADA system components:

- System Architecture
- Hardware
- Software
- Communications
- Standards, Documentation, and Procedures
- Security (Physical and Cybersecurity)
- System Maintenance

The information gathered from the investigation should be used to identify any areas of deficiency and in need of improvements. A summary of findings and recommendations should be included in the SCADA Master Plan document. Details of the assessment shall be included as an appendix to the SCADA Master Plan document.

4. Assessment and Analysis of Stakeholder Requirements

The consultant should gather information regarding the needs of all stakeholders in the SCADA system. Example stakeholder groups would be Maintenance, Operations, Engineering, and Management. It is recommended that workshops or other targeted information gathering processes be used to gather relevant information. Notes from the information gathering process should be taken and included as an appendix to the SCADA Master Plan document.

The information gathered from the assessment and analysis should be used to identify any areas of deficiency and in need of improvements. A summary of findings and recommendations should be included in the SCADA Master Plan document.

5. Development of Task Evaluation and Prioritization Criteria

The consultant should develop project evaluation and prioritization criteria to assist the Agency in future planning. The prioritization process should be quantitative and multidimensional. At a minimum, the criteria should include project cost, project benefit, operational impact, compliance with industry standards, and regulatory requirements. A description of the evaluation criteria shall be included in the SCADA Master Plan document and used to develop a prioritized list of suggested tasks.

6. Prioritized List of Suggested Tasks

The consultant should use the information gathered in the condition and stakeholder needs assessments, in tandem with the evaluation and prioritization criteria, to develop a prioritized task list to assist the Agency in planning. The list should include a brief description, an estimated cost, and a suggested approach to accomplish each task.

7. SCADA Master Plan Document

A suggested outline for the SCADA Master Plan document includes:

- Executive Summary
- Introduction/Background
- Summary and Discussion of Current Conditions
- Summary and Discussion of Stakeholder Needs
- Task Evaluation Criteria
- Summary of Task Evaluation and Project Prioritization
- SCADA Master Plan Update and Maintenance

Appendix A – Current Condition Assessment Details

Appendix B – Stakeholder Needs Assessment Details

Appendix C – Project Evaluation and Prioritization Details

The consultant is not required to follow this outline. An outline of the proposed SCADA Master Plan document should be submitted to the Agency for review before development.

8. Additional Requirements and Information

a. Schedule

Zone 7 is targeting a 12-month period of performance from Notice to Proceed for this scope of services. Schedule is subject to change.

b. System Access

Physical and remote electronic access to the SCADA system may be arranged after contract award with prior approval from the Agency.

IV. PROPOSAL & AWARD SCHEDULE

Below are the major events planned to occur during the selection process to determine a consultant. Schedule is subject to change.

EVENT	DATE
RFP Issue Date	August 8, 2023
Questions Due	September 1, 2023 @ 2:00 p.m. PST
Proposals Due	September 15, 2023 @ 2:00 p.m. PST
Interviews, if applicable	September, 2023
Selection/Contract Negotiation	October, 2023
Award Announcement	October, 2023
Board Award	November, 2023
Notice to Proceed	January, 2023

V. SUBMITTAL INSTRUCTIONS

A. Examination of Proposal Documents

By submitting a proposal, the proposer represents that it has thoroughly examined and become familiar with the work required under this RFP and that it is capable of performing timely and quality work to the level of Zone 7's expectations and achievement of its objectives.

B. Addenda/Clarifications

Questions or comments regarding this RFP shall be addressed in writing to the Zone 7 Project Manager, Willis Costello, at wcostello@zone7water.com **no later than 2:00 p.m. PST on September 1, 2023**. Responses from Zone 7 will be communicated by e-mail to all recipients of this RFP.

C. Submission of Proposals

All proposals shall be submitted to the Zone 7 Project Manager, Willis Costello, by email at wcostello@zone7water.com. Proposals must be received no later than **2:00 p.m. PST on September 15, 2023**. Proposals received after this time will not be accepted. It is the responsibility of the proposer to ensure that the email was received by the Zone 7 Project Manager.

The proposers e-mail shall be clearly marked as “**Proposal for Zone 7 SCADA Master Plan**”.

D. Proposal Documents Inclusion

At the sole discretion of Zone 7, the proposal documents may be deemed a part of the contract resulting from this RFP if awarded.

E. Withdrawal of Proposals

A proposer may withdraw its proposal at any time before the expiration of the time for submission of proposals as provided in this RFP by sending a written request for withdrawal signed by, or on behalf of, the proposer to the Zone 7 Project Manager. The time of receipt of email shall be the time such request is received in hand by Zone 7. The proposer assumes the risk of any failed delivery. It is the responsibility of the proposer to ensure that the email was received by the Zone 7 Project Manager.

F. Public Records Act Requests

Zone 7 believes that the public interest is served by securing the best quality work at the lowest price. Accordingly, we request information about your company’s qualifications, experience, and other similar items. Under California law, if requested to provide a copy of your proposal to a third party, we will do so to comply with the California Public Records Act.

If you believe that any information that you will be providing to Zone 7 is confidential or is subject to protection as a trade secret, please clearly mark that information as confidential in your submittal. You may highlight the confidential information in yellow or otherwise mark it so that Zone 7 personnel clearly know that it is confidential or trade secret information.

Zone 7 will do its best not to disclose confidential or trade secret information that is clearly marked as such, but you should know that you bear the risk of marking the confidential/trade secret information sufficiently clearly to allow Zone 7 personnel to redact that information prior to providing it to a requestor. Zone 7 assumes no responsibility for any failure on your part to mark the information sufficiently clearly to allow our staff to redact the information at the appropriate time.

Prior to disclosing your proposal to a requestor, Zone 7 will provide you with reasonable notice of the request and a reasonable opportunity to seek a protective order from a court of competent jurisdiction. Zone 7 will not contest your request for a protective order but will also not contest a request for your response to the request for proposals. Zone 7 will comply with any order regarding disclosure from a court of competent jurisdiction.

G. Rights of Zone 7

This RFP does not commit Zone 7 to enter into a contract, nor does it obligate Zone 7 to pay for any costs incurred in the preparation and submission of proposals or in anticipation of a contract.

Zone 7 may investigate the qualifications of any proposer under consideration, require confirmation of information furnished by the proposer, and require additional evidence of qualifications to perform the services described in this RFP.

Zone 7 reserves the right to:

- Reject any or all proposals.
- Issue subsequent Requests for Proposals.
- Postpone opening for its own convenience.
- Remedy technical errors in the Request for Proposal process.
- Approve or disapprove the use of particular subcontractors.
- Negotiate with any, all, or none of the proposers.
- Solicit best and final offers from all or some of the proposers.
- Award a contract to one or more proposers.
- Award a contract to a team created by Zone 7 from the proposers and/or its subcontractors.
- Award a contract to a proposer other than the one with the lowest rates.
- Waive informalities and irregularities in proposals.

H. Contract Type

By submitting a proposal to Zone 7 in response to this RFP, proposer agrees that if selected by Zone 7 as the successful consultant, it will enter into this contract with Zone 7 containing the terms and conditions as set forth in the attached sample contract and insurance requirements (Appendix A).

The contract will be for SCADA Master Plan development. A Professional Services Agreement with fixed rate schedules shall be used for the project and tasks. The contract is expected to begin on or after January 1, 2024 and end on December 31, 2024.

VI. PROPOSAL CONTENT

Proposals are to be clear, concise, and specific to the information requested. Responses are to be in the sequence set forth herein. **Limit proposals to 20 pages including a cover letter but excluding resumes.** For proposals to be considered complete, proposers **must** provide all the information requested.

A. Format for Proposal

The format of the proposal shall be as follows:

1. Title Page

Show the RFP subject, name of the firm, local address, telephone number, name, e-mail address, and title of contact person, and date of submission.

2. Table of Contents

Include a clear and complete identification of the materials submitted by section and page number. Cross-referencing to the section and page number in the RFP would be helpful.

3. Detailed Proposal

The detailed proposal should follow the format in Section 2 below.

B. Contents of Proposal

All the specific documentation listed below is required to be submitted with the proposal. While additional data may be presented, the areas detailed below **must** be included.

1. Introduction

This letter should be on company letterhead and addressed to the Project Manager with a statement of the firm's basic understanding of the Agency's needs. Include the name, office address and telephone number of your team's primary point of contact. If applicable, the names and addresses of any parent or subsidiary of your company shall also be included.

2. Consultant Team Organization

The responding firm(s) should provide an organizational chart and brief description of the anticipated role for each team member and/or subconsultant, as applicable. All team members listed shall be assigned to the project and responsible for and actively represent their respective technical discipline. Identify the primary office location for each listed team member.

3. Qualifications and Experience of Key Team Members

The responding firm(s) shall identify the Project Manager and other key team members and their responsibilities. Include the expected amount of involvement for each key team member. Describe the key team members' experience with completing similar work. Include resumes (2 pages maximum per team member) of key team members to be assigned to the project. Resumes should include information on specific projects the individual has been involved with, clearly showing experience relevant to the project requested in this RFP.

4. Project Experience and Examples

The responding firm(s) shall include three (3) examples of projects of similar scale and complexity, demonstrating their experience in similar work. The examples should indicate key team member involvement, where applicable.

5. Project Management

The responding firm(s) should outline their approach to project management including oversight of scope, budget, and schedule. The firm(s) should also note the experience of the assigned Project Manager working with other key personnel.

6. Scope of Services Delivery

The responding firm(s) shall outline their suggested approach to delivering the project described in Section III titled, Scope of Services.

7. Contract Exceptions

Appendix A is Zone 7's standard Professional Services Agreement. The proposer should review this carefully. No contract exceptions shall be allowed.

8. Cost Proposal

The Agency is interested in cost-effective approaches to supporting the program and the final Scope of Services will be subject to negotiation. Responding firms may include a description of any cost savings ideas and shall include current year rate sheets for the firm and any proposed teaming partners.

9. Insurance

Appendix A of the RFP is a sample of the Agency's professional services agreement and insurance requirements. The selected firm will maintain the minimum insurance requirements during the entire term of the engagement. To confirm this requirement, within 15 days from the execution of the Agreement, the selected firm shall furnish the Agency satisfactory evidence of the insurance requirement and evidence that each carrier is required to give at least 30 days prior written notice of the cancellation of any policy during the effective period of the Agreement. The Agency shall be named as an additional named insured under the selected firm's policies as noted in the Agreement.

VII. EVALUATION AND SELECTION

A. Evaluation Criteria

The following criteria will be used to evaluate written proposals that are submitted.

1. Quality and Experience

Technical experience in performing work of closely similar nature; qualifications of key personnel; experience working with water supply, flood control or other public water or wastewater agencies; strength and stability of the firm; technical experience and strength and stability of proposed subconsultants.

2. Assessments by Client References

Input from client references on the Proposers and all included resources on work of closely similar nature.

B. Evaluation Procedure

A Review Panel, generally made up of Zone 7 staff, will review the qualifications submitted, establish a list of finalists based on pre-established review criteria, interview the finalist firms if necessary, and select the successful proposer based on demonstrated competence and necessary qualifications. The names of the Review Panel Members and the individual or composite rating and/or evaluation forms prepared by Panel Members will not be revealed. Negotiations of the contracts, the detailed scope of work, and the fee are not within the scope of the Review Panel.

Members of the Review Panel will review the proposals to determine those firms to be invited for an oral interview, if needed. Approximately 45 minutes will be allowed for each oral interview and questions and answers. The proposed Project Manager must lead the presentation before the Review Panel. Proposers should also be aware that the award might be made without interviews or further discussion.

VIII. CONTRACT AWARD

When the Review Panel has completed its review, Proposers will be advised of the selected firm(s) by email. A contract will then be negotiated with the selected firm(s) for the extent of services to be rendered and for the method of compensation. The contract may require approval from the Board of Directors.

IX. TERMS AND CONDITIONS**A. Contract Conditions**

The Agency reserves the right to negotiate the actual contract details after the contractor has been selected. The successful proposer will be required to conform to all the terms of and conditions of the Agency. A sample agreement, which will be used as the basis for this project, is included for your information (Appendix A).

B. Invoicing

Progress payments will be made based on work completed during the course of the engagement. Details of staff hours with billing rates will be required to be included on each invoice. Payment will be made based upon actual costs not to exceed the maximum outlined in the proposal or contract.

C. Confidentiality

Zone 7 shall make available to the consultant such materials from its files as may be required to perform the services under this agreement. These materials and information remain the property of Zone 7 while in the consultant's possession and must be treated as confidential information. This material may not be released or disclosed without the written permission of Zone 7.

In addition, the firm shall respond to the reasonable inquiries of successor auditors and allow successor auditors to review work paper.

Appendix A
Sample Agreement and Insurance
Requirements

SAMPLE

SERVICES AGREEMENT

between

**ALAMEDA COUNTY FLOOD CONTROL
AND WATER CONSERVATION DISTRICT, ZONE 7**

and

Consultant Name

for

Project/Program Name

Contract No. _____

Dated _____

SAMPLE

Zone 7 Water Agency

Appendix A - Sample Agreement and Insurance Requirements

RFP No. 2024-08

This Professional Services Agreement ("**Agreement**") is made effective as of _____, by and between the Alameda County Flood Control and Water Conservation District, Zone 7 commonly known as ZONE 7 WATER AGENCY, hereinafter referred to as ("**Agency**"), a public body, corporate and politic, duly organized and existing under and by virtue of the laws of the State of California and _____, a DESCRIBE BUSINESS ENTITY, E.G., PROFESSIONAL CORPORATION ("**Consultant**") (collectively, the "**Parties**"), at Livermore, California, with reference to the following facts and intentions:

WHEREAS, The Agency is engaging in _____ ("**Project**"); and

WHEREAS, The Agency requires a highly qualified consultant with the requisite knowledge, skill, ability and expertise to provide the necessary services for the Project ("**Services**"); and

WHEREAS, Consultant represents to the Agency that it is fully qualified and available to perform the Services for and as requested by the Agency.

NOW, THEREFORE, in consideration of the mutual promises, covenants, and terms and conditions herein, the Parties agree as follows:

1. SCOPE OF WORK

- 1.1. Consultant shall provide all services set out in Appendix A, Scope of Work, attached and incorporated here to the satisfaction of the Agency.
- 1.2. **Independent Contractor; Agency** - The Consultant is acting hereunder as an independent contractor and not as an agent or employee of the Agency. The Consultant is thus not eligible to receive workers' compensation, medical, indemnity or retirement benefits, including but not limited to enrollment in the Alameda County Employees' Retirement Association (ACERA). Except as expressly provided herein, the Consultant is not eligible to receive overtime, vacation or sick pay. The Consultant shall not represent or otherwise hold out itself or any of its directors, officers, partners, employees, or agents to be an agent or employee of the Agency.
- 1.3. **Extra Services** - Before performing any services outside the scope of this Agreement ("**Extra Services**"), Consultant shall submit a written request for approval of such Extra Services and receive written approval from the Agency. The Agency shall have no responsibility to compensate Consultant for any Extra Services provided by Consultant without such prior written approval.
- 1.4. **Methods** - Consultant shall have the sole and absolute discretion in determining the methods, details and means of performing the Services required by the Agency. The Agency shall not have any right to direct the methods, details and means of the Services; however, Consultant must receive prior written approval from the Agency before assigning or changing any assignment of Consultant's project manager or key personnel and before using any Sub-consultants ("**Sub-consultants**") or Sub-consultant agreements for services or materials under this Agreement and any work authorizations.

- 1.5. **Review** - Consultant shall furnish the Agency with reasonable opportunities from time to time to ascertain whether the Services of Consultant are being performed in accordance with this Agreement. All work done and materials furnished shall be subject to final review and approval by the Agency. The Agency's review and approval of the Services shall not; however, relieve Consultant of any of its obligations under this Agreement.

2. COMPENSATION

- 2.1. **Amount** – As consideration for the Services described above, THE AGENCY will pay the Consultant an amount not to exceed \$_____ (“Maximum Amount”). DESCRIBE ANY PERIODIC BILLING REQUIREMENTS, EXPECTATIONS OR OTHER PARTICULARS, E.G., NOT TO EXCEED \$_____ PER MONTH, OR CONSULTANT SHALL NOTIFY THE AGENCY WHEN TOTAL INVOICED AMOUNT EQUALS 80% OF MAXIMUM AMOUNT.

Payments will be made at the rates set forth in the Fee Schedule which is attached hereto within and incorporated herein as though fully set forth (“Fee Schedule” – Appendix B). Consultant shall submit an invoice within ten (10) days after the end of each month during the term of this Agreement describing the Services performed for which payment is requested.

- 2.2. **Invoicing** – The invoice shall identify and describe the activities performed by Consultant and state the total cost of the Services for the period of the invoice; the hours worked; the name and title of the person(s) performing the work; the hourly rate for the person(s) performing the work; the accrued reimbursable expenses; and the budget amount and percentage remaining (after invoice payment), without reduction for retentions. The invoice shall also identify expenses for which reimbursement is requested and attach supporting documentation, including original receipts and/or bills. Any expenses exceeding \$500 shall require written approval from the Agency. Reimbursable costs shall not include any administrative or overhead expenses and shall be reimbursable as described in the Fee Schedule.

Costs or expenses not designated or identified in the Fee Schedule shall not be reimbursable unless otherwise provided in this Agreement. Only actual time in providing the Services will be charged. The Agency will not make any payments for Consultant's travel time incurred in providing the Services, and Consultant agrees not to invoice the Agency for any travel time incurred in providing the Services.

The Agency shall review and approve all invoices prior to payment. Consultant agrees to submit additional supporting documentation to support the invoice if requested by the Agency. If the Agency does not approve an invoice, the Agency shall send a notice to the Consultant setting forth the reason(s) the invoice was not approved. Consultant may re-invoice the Agency to cure the defects identified in the Agency notice. The revised invoice will be treated as a new submittal. If the Agency contests all or any portion of an invoice, the Agency and the Consultant shall use their best efforts to resolve the contested portion of the invoice.

The Agency shall pay approved invoice amounts within thirty (30) days of receipt. The Agency's determinations regarding verification of Consultant's performance,

accrued reimbursable expenses, and percentage of completion shall be binding and conclusive. Consultant's time records, invoices, receipts and other documentation supporting the invoices shall be available for review by the Agency upon reasonable notice and shall be retained by Consultant for three (3) years after completion of the Project.

All invoices submitted for payment must indicate the Agreement number and be emailed to accountspayable@zone7water.com and to the Project Manager.

- 2.3. **Withholding Payment** – In the event the Agency has reasonable grounds for believing Consultant will be unable to materially perform the Services under this Agreement or unable to complete the Services within the Maximum Amount described in this Agreement, or if the Agency becomes aware of a potential claim against Consultant or the Agency arising out of Consultant's negligence, intentional act or breach of any provision of this Agreement, including a potential claim against Consultant by the Agency, then the Agency may withhold payment of any amount payable to Consultant that the Agency determines is related to such inability to complete the Services, negligence, intentional act, or breach.

3. TAXES; INSURANCE; PERMITS; LICENSES

- 3.1. **Taxes** - Consultant shall be solely responsible for the payment of all federal, state and local income tax, social security tax, worker's compensation insurance, state disability insurance, and any other taxes or insurance Consultant, as an independent contractor, is responsible for paying under federal, state or local law. Consultant is aware of the provisions of Section 3700 of the California Labor Code, which requires every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and Consultant shall comply with such provisions before commencing the performance of the Services under the Agreement. Consultant and its Sub-consultants shall maintain applicable workers' compensation insurance for their employees in effect during all work covered by the Agreement.
- 3.2. **Permits and Licenses** - Consultant shall procure and maintain all permits, and licenses and other government-required certification necessary for the performance of the Services, all at the sole cost of Consultant. None of the items referenced in this section shall be reimbursable to Consultant under the Agreement. Consultant shall comply with any and all applicable local, state, and federal regulations and statutes including Cal/OSHA requirements.

4. RISK TRANSFER PROVISIONS

- 4.1. **Workers' Compensation Insurance** - By his/her signature hereunder, Consultant certifies that he/she is aware of the provisions of Section 3700 of the California Labor Code which require every employer to be insured against liability for workers' compensation or to undertake self-insurance in accordance with the provisions of that code, and he/she will comply with such provisions before commencing the performance of the work of this Agreement.

- 4.2. **Indemnification** - To the fullest extent permitted by law, Consultant will immediately defend, indemnify and hold harmless the Agency, its directors, officers, employees, or authorized volunteers, and each of them (collectively "the Agency") from and against:
- 4.2.1. All claims, demands, liabilities and losses arising out of the performance (or actual or alleged non-performance) of the services by Consultant, including its agents and employees, under this Agreement, for damages to persons or property arising, pertaining to or relating to the Consultant's negligent acts or omissions or willful misconduct or the failure of Consultant to comply with any professional standard of care applicable to Consultant's services.
 - 4.2.2. Any and all actions, proceedings, damages, costs, expenses, penalties or liabilities, in law or equity, of every kind or nature whatsoever, arising out of, resulting from, or on account of the intentional or negligent violation of any governmental law or regulation, compliance with which is the responsibility of Consultant.
 - 4.2.3. Any and all losses, expenses, damages (including damages to the work itself), attorneys' fees, and other costs, including all costs of defense, including but not only costs of counsel acceptable to the Agency, which the Agency may incur with respect to the failure, neglect, or refusal of Consultant to perform the Services or its obligations under the Agreement. Such costs, expenses, and damages shall include all costs, including attorneys' fees, incurred by the Agency in any lawsuit to which it is a party. Upon the Agency's tender, Consultant shall immediately defend, at its own cost, expense and risk, any and all such suits, actions or other legal proceedings, with counsel acceptable to the Agency. Consultant shall further defend itself against any and all liabilities, claims, losses, damages, and costs arising out of or alleged to arise out of performance or non-performance of the work hereunder, and shall not tender such claims to the Agency nor to its directors, officers, employees, or authorized volunteers, for defense or indemnity.
 - 4.2.4. Consultant shall pay and satisfy any judgment, award or decree that may be rendered against the Agency or its directors, officers, employees, or authorized volunteers, in any and all such aforesaid suits, actions, or other legal proceedings if arising as provided in the previous subsections of this Section.
 - 4.2.5. Consultant shall reimburse the Agency or its directors, officers, employees, or authorized volunteers, for any and all legal expenses and costs incurred by each of them in connection therewith.

Consultant's indemnification obligations shall not be limited in any way by any limitation on the amount or type of damages, compensation, or benefits payable by or for the Consultant, its agents, employees under any applicable Worker Compensation Act, Disability Benefits Act, or other employee benefit act. Consultant's obligation to defend and indemnify shall not be restricted by the insurance requirements of this Agreement

or to insurance proceeds, if any received by the Agency, or its directors, officers, employees, or authorized volunteers.

Notwithstanding the foregoing obligations, Consultant shall not at any time be responsible for any claims, liabilities or demands to the extent that they arise from the negligence or willful misconduct of the Agency, provided, however, that contributory negligence will not relieve Consultant of its obligation to defend unless the claims, liabilities or demand are the result of the sole negligence or willful misconduct of Agency.

The indemnity provided under this indemnification provision is intended to and will survive the expiration or termination of the Agreement and remain in full force and effect until barred by the applicable statute of limitations.

5. GENERAL CONDITIONS

5.1. **Laws, Regulations and Permits** -The Consultant shall give all notices required by law and comply with all laws, ordinances, rules, and regulations pertaining to the conduct of the work. The Consultant shall be liable for all violations of the law in connection with work furnished by the Consultant. If the Consultant performs any work knowing it to be contrary to such laws, ordinances, rules and regulations, the Consultant shall bear all costs arising therefrom.

5.2. **Safety** - The Consultant shall execute and maintain his/her work so as to avoid injury or damage to any person or property.

In carrying out his/her work, the Consultant shall at all times exercise all necessary precautions for the safety of employees appropriate to the nature of the work and the conditions under which the work is to be performed, and be in compliance with all applicable federal, state and local statutory and regulatory requirements including State of California, Department of Industrial Relations (Cal/OSHA) regulations, and the U.S. Department of Transportation Omnibus Transportation Employee Testing Act. Safety precautions, as applicable, shall include but shall not be limited to: adequate life protection and life-saving equipment; adequate illumination; instructions in accident prevention for all employees, such as the use of machinery guards, safe walkways, scaffolds, ladders, bridges, gang planks, confined space procedures, trenching and shoring, fall protection, and other safety devices; equipment and wearing apparel as are necessary or lawfully required to prevent accidents, injuries, or illnesses; and adequate facilities for the proper inspection and maintenance of all safety measures.

5.3. **Labor Compliance Requirements** - Labor Compliance requirements (Prevailing Wage, SB 854): Contractor/Vendor must comply with all labor compliance requirements including but not limited to prevailing wage requirements, SB 854, Labor Code sections 1771.1(a) & 1725.5, Public Works Contractor Registration Program, and Electronic Certified Payroll Records to Labor Commissioner. Additional information about these requirements and the new public works program regarding compliance monitoring, administration and enforcement are available at the Department of Industrial Relations. **[For Public Works Contracts]** Copies of the

rate of per diem prevailing wage shall be on file at the principal office of the Agency, and shall be made available to any interested party upon request.

6. REQUIRED INSURANCE

Liability Insurance - The Consultant shall provide and maintain at all times during the performance of the work under this Agreement, the following commercial general liability, professional liability and automobile liability insurance. All of the insurance shall be provided on policy forms and through companies satisfactory to the Agency.

6.1. **Coverage** - Coverage shall be at least as broad as the following or as provided in Appendix B:

6.1.1. Coverage for Professional Liability appropriate to the Consultant's profession covering Consultant's wrongful acts, negligent actions, errors or omissions. **If Claims Made Policies:** the Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work; insurance must be maintained and evidence of insurance must be provided **for at least five (5) years after completion of the contract of work**; and if coverage is canceled or non-renewed, and not **replaced with another claims-made policy form with a Retroactive Date** prior to the contract effective date, the Consultant must purchase "extended reporting" coverage for a minimum of **five (5) years** after completion of contract work.

6.1.2. Insurance Services Office (ISO) Commercial General Liability Coverage (Occurrence Form CG 0001).

6.1.3. Insurance Services Office (ISO) Business Auto Coverage (Form CA 0001), covering Symbol 1 (non-owned and hired automobiles).

6.2. **Limits** - The Consultant shall maintain limits no less than the following:

6.2.1. Professional Liability – Two million dollars (\$2,000,000) per claim and annual aggregate.

6.2.2. Commercial General Liability – Two million dollars (\$2,000,000) per occurrence or the full per occurrence limits of the policies available, whichever is greater for bodily injury, personal injury and property damage and products & completed operations liability. If Commercial General Liability Insurance or other form with a general aggregate limit or products-completed operations aggregate limit is used, either the general aggregate limit shall apply separately to the project/location (with the ISO CG 25 03, or ISO CG 25 04, or insurer's equivalent endorsement provided to the Agency) or the general aggregate limit and products-completed operations aggregate limit shall be twice the required occurrence limit.

6.2.3. Automobile Liability – One million dollars (\$1,000,000) for bodily injury and property damage each accident limit.

6.2.4. Excess Liability – The limits of insurance required in this Agreement may be satisfied by a combination of primary and umbrella or excess insurance. Any umbrella or excess insurance shall contain or be endorsed to contain a provision that such coverage shall also apply on a primary and non-contributory basis for the benefit of the Agency (if agreed to in a written contract or agreement) before the Agency’s own primary or self- Insurance shall be called upon to protect it as a named insured.

6.3. **Required Provisions** - The general liability and automobile liability policies are to contain, or be endorsed to contain, the following provisions:

6.3.1. The Agency, its directors, officers, employees, and authorized volunteers are to be given insured status at least as broad as ISO endorsement CG 20 10 10 01 specifically naming all of the Agency parties required in this Agreement, or using language that states “as required by contract”). All Sub-consultants hired by Consultant must also have the same forms or coverage at least as broad; as respects: liability arising out of activities performed by or on behalf of the Consultant; products and completed operations of the Consultant; premises owned, occupied or used by the Consultant; and automobiles owned, leased, hired or borrowed by the Consultant. The coverage shall contain no special limitations on the scope of protection afforded to the Agency, its directors, officers, employees, or authorized volunteers.

6.3.2. Each insurance policy required above shall provide that coverage shall not be canceled, except with notice to the Agency. Additionally, Consultant shall give Agency thirty (30) days written notice prior to any material change or cancellation of said coverage.

6.3.3. For any claims related to this project, the Consultant's insurance shall be primary insurance as respects the Agency, its directors, officers, employees, or authorized volunteers, using the ISO CG 20 01 04 13 or coverage at least as broad. Any insurance, self-insurance, or other coverage maintained by the Agency, its directors, officers, employees, or authorized volunteers shall be in excess of the insurance required under this Agreement, and shall not contribute to it.

6.3.4. Any failure to comply with the reporting or other provisions of the policies including breaches and warranties shall not affect coverage provided to the Agency, its directors, officers, employees, or authorized volunteers.

6.3.5. Such liability insurance shall indemnify the Consultant and his/her Sub-consultants against loss from liability imposed by law upon, or assumed under contract by, the Consultant or his/her Sub-consultants for damages on account of such bodily injury (including death), property damage, personal injury, completed operations, and products liability.

- 6.3.6. The general liability policy shall cover bodily injury and property damage liability, owned and non-owned equipment, blanket contractual liability, completed operations liability.
- 6.3.7. The automobile liability policy shall cover all owned, non-owned, and hired automobiles.
- 6.4. **Workers' Compensation and Employer's Liability Insurance** - The Consultant and all Sub-consultants shall cover or insure under the applicable laws relating to workers' compensation insurance, all of their employees employed directly by them or through Sub-consultants in carrying out the work contemplated under this Agreement, all in accordance with the "Workers' Compensation and Insurance Act", Division IV of the Labor Code of the State of California and any Acts amendatory thereof, with statutory limits. The Consultant shall provide employer's liability insurance with limits of no less than \$1,000,000 each accident, \$1,000,000 disease policy limit, and \$1,000,000 disease each employee. **Waiver of Subrogation:** The insurer(s) named above agree to waive all rights of subrogation against the Agency, its elected or appointed officers, officials, agents, authorized volunteers and employees for losses paid under the terms of this policy which arise from work performed by the Named Insured for the Agency; but this provision applies regardless of whether or not the Agency has received a waiver of subrogation from the insurer.
- 6.5. **Deductibles and Self-Insured Retentions** - Any deductible or self-insured retention must be declared to and approved by the Agency. At the option of the Agency, the insurer shall either reduce or eliminate such deductibles or self-insured retentions. Policies containing any self-insured retention (SIR) provision shall provide or be endorsed to provide that the SIR may be satisfied by either the named or additional insureds, co-insurers, and/or insureds other than the First Named Insured.
- 6.6. **Acceptability of Insurers** - Insurance is to be placed with insurers having a current A.M. Best rating of no less than A:VII or equivalent or as otherwise approved by the Agency.
- 6.7. **Evidences of Insurance** - Prior to execution of the Agreement, the Consultant shall file with the Agency a certificate of insurance (Acord Form 25 or equivalent) signed by the insurer's representative evidencing the coverage required by this Agreement. Such evidence shall include (1) attached additional insured endorsements with primary & non-contributory wording, (2) Workers' Compensation waiver of subrogation. The Agency reserves the right to obtain complete, certified copies of all required insurance policies, at any time. Consultant shall maintain the Insurance required by this Agreement throughout the term of the Agreement and for a period of not less than 5 years following the termination of completion of this Agreement. Consultant further waives all rights of subrogation under this Agreement. Failure to continually satisfy the Insurance requirements is a material breach of contract.

The Consultant shall, upon demand of the Agency, deliver to the Agency such policy or policies of insurance and the receipts for payment of premiums thereon.

- 6.8. **Continuation of Coverage** - If any of the required coverages expire during the term of this Agreement, the Consultant shall deliver the renewal certificate(s) including the general liability additional insured endorsement to the Agency at least ten (10) days prior to the expiration date. Failure to comply with any of the Insurance requirements shall constitute material breach of contract. The insurance requirements in this Agreement do not in any way represent or imply that such coverage is sufficient to adequately cover the Consultant's obligations under this Agreement. All Insurance or self-insurance coverage and limits applicable to a given loss or available to the named insured shall be available and applicable to the additional insured. The insurance obligations under this Agreement are independent of and in addition to the defense and indemnity obligations contained elsewhere in this Agreement and shall not in any way act to limit or restrict the defense or indemnity or additional insure obligations of the Consultant or the Consultant's insurance carrier, and shall be for (1) the full extent of the insurance or self-insurance coverages and limits carried by or available to the Consultant, or (2) the minimum insurance coverage and amounts shown in this Agreement; whichever is greater. Agency reserves the right to add such other parties as may be required in the future to the indemnity and additional insured requirements of this Agreement.
- 6.9. **Sub-Consultants** - In the event that the Consultant employs other consultants ("Sub-consultants") as part of the services covered by this Agreement, it shall be the Consultant's responsibility to require and confirm that each Sub-consultant meets the minimum insurance requirements specified above.

7. LABOR AND MATERIALS

Consultant shall furnish, at its own expense, all labor, materials, equipment, tools, transportation and services necessary for the successful completion of the Services to be performed under this Agreement. Consultant shall give its full attention and supervision to the fulfillment of the provisions of this Agreement by its employees and Sub-consultants and shall be responsible for the timely performance of the Services required by this Agreement. Consultant's standard schedule of fees and charges is attached, which is incorporated herein as though fully set forth in the Fee Schedule attached hereto (Appendix B). All compensation for Consultant's Services under this Agreement shall be pursuant to the Fee Schedule.

8. TERM OF THE AGREEMENT

- 8.1. **Period of Services** – This Agreement between the Agency and Consultant is for a term of NUMBER OF MONTHS, beginning DATE and ending DATE, subject to the termination provisions herein.
- 8.2. **Termination** – The Agency may terminate this Agreement for any reason by giving Consultant at least thirty (30) days or earlier (depending on nature of services) prior written notice of such termination. Such termination shall not relieve the Agency from responsibility for payment for Services rendered by Consultant prior to

the date of termination but shall relieve the Agency of its obligations for the full payment of compensation due under the Agreement for the Services of Consultant after the notice of termination.

8.3. **Termination for Cause** – The Agency may terminate the Agreement for cause, effective immediately upon written notice of such termination to Consultant, based upon the occurrence of any of the following events:

8.3.1. Material breach of the Agreement by Consultant

8.3.2. Cessation of Consultant to be licensed, as required by law

8.3.3. Failure of Consultant to substantially comply with any applicable federal, state or local laws or regulations

8.3.4. The voluntary or involuntary filing of any petition under any law for the relief of debtors with respect to Consultant

8.3.5. Conviction of Consultant of any crime other than minor traffic offenses

8.4. **Compensation Upon Termination** - If the Services of Consultant are terminated, in whole or in part, Consultant shall be compensated as provided herein for all Services and approved Extra Services performed prior to the date of such termination.

9. CALIFORNIA LABOR CODE REQUIREMENTS

9.1. Consultant is aware of the requirements of California Labor Code Sections 1720 et seq. and 1770 et seq., which require the payment of prevailing wage rates and the performance of other requirements on certain "public works" and "maintenance" projects. If the services are being performed as part of an applicable "public works" or "maintenance" project, as defined by the Prevailing Wage Laws, and if the total compensation is \$1,000 or more, Consultant agrees to fully comply with such Prevailing Wage Laws, if applicable. Consultant shall defend, indemnify and hold the Agency, its elected officials, officers, employees and agents free and harmless from any claims, liabilities, costs, penalties or interest arising out of any failure or alleged failure to comply with the Prevailing Wage Laws. It shall be mandatory upon the Consultant and all sub-consultants to comply with all California Labor Code provisions, which include but are not limited to prevailing wages, employment of apprentices, hours of labor and debarment of contractors and subcontractors.

9.2. **Effective March 1, 2015**, if the services are being performed as part of an applicable "public works" or "maintenance" project, in addition to the foregoing, then pursuant to Labor Code sections 1725.5 and 1771.1, the Consultant and all sub-consultants must be registered with the Department of Industrial Relations ("DIR"). Consultant shall maintain registration for the duration of the project and require the same of any sub-consultants. This project may also be subject to compliance monitoring and enforcement by the DIR. It shall be Consultant's sole

responsibility to comply with all applicable registration and labor compliance requirements, including the submission of payroll records directly to the DIR.

10. INTERESTS OF CONSULTANT

- 10.1. Consultant represents and warrants that it presently has no interests, and covenants that it will not acquire any interests, direct or indirect, financial or otherwise, that would conflict with the performance of the Services to be provided by Consultant under the Agreement. Consultant further covenants that, in the performance of the Agreement, it will not employ any Sub-consultant or employee with any such interest. Consultant certifies that no one who has or will have any financial interest under this Agreement or within Consultant is a director, officer or employee of the Agency.
- 10.2. Although Consultant is retained as an independent contractor, Consultant's employees or agents may still be required under the California Political Reform Act and the Agency Conflict of Interest Code to file annual financial disclosure statements. Consultant agrees that its employees and/or agents will file with the Agency in a timely manner those financial disclosure statements that the Agency determines Consultant is required to file pursuant to the Political Reform Act. Failure to file such financial disclosure statements by Consultant and any of its employees or agents is grounds for termination of this Agreement.

11. COMPLETED WORK AND WORK PRODUCT

In the event of termination or completion of the Services under the Agreement, Consultant shall, at the Agency's request, promptly surrender to the Agency all completed work and work in progress and all materials, records and notes developed, procured, or produced pursuant to the Agreement. Consultant may retain copies of such work product as a part of its record of professional activity.

12. CONFIDENTIALITY AND RESTRICTIONS ON DISCLOSURE

- 12.1. **Confidential Nature of Materials** - The Consultant understands that all documents, records, reports, data, or other materials (collectively "Materials") provided by the Agency to the Consultant pursuant to the Agreement, including but not limited to draft reports, final report(s) and all data, information, documents, graphic displays and other items that are not proprietary to the Consultant and that are utilized or produced by the Consultant pursuant to the Agreement are to be considered confidential for all purposes.
- 12.2. **No Disclosure of Confidential Materials** - The Consultant shall be responsible for protecting the confidentiality and maintaining the security of the Agency documents and records in its possession. All Materials shall be deemed confidential and shall remain the property of the Agency. The Consultant understands the sensitive nature of the above and agrees that neither its officers, partners, employees, agents or Sub-consultants will release, disseminate, or otherwise publish said reports or other such data, information, documents, graphic displays,

nor other materials except as provided herein or as authorized, in writing, by the Agency. The Consultant agrees not to make use of such Materials for any purpose not related to the performance of the Services under the Agreement. The Consultant shall not make written or oral disclosures thereof, other than as necessary for its performance of the Services hereunder, without the prior written approval of the Agency. Disclosure of confidential Materials shall not be made to any individual, agency, or organization except as provided for in the Agreement or as may be required by law, or by a court of competent jurisdiction.

- 12.3. **Protections to Ensure Control over Materials** - All confidential Materials saved or stored by the Consultant in an electronic form shall be protected by adequate security measures to ensure that such confidential Materials are safe from theft, loss, destruction, erasure, alteration, and any unauthorized viewing, duplication, or use. Such security measures shall include, but not be limited to, the use of current virus protection software, firewalls, data backup, passwords, and internet controls.

The provisions of this Section survive the termination or completion of the Agreement.

13. OWNERSHIP OF DOCUMENTS AND DISPLAYS

All original written or recorded data, documents, graphic displays, reports or other materials which contain information relating to the Consultant's performance hereunder and which are originated and prepared for the Agency pursuant to the Agreement shall be "work for hire" and shall be the property of the Agency. The Consultant hereby assigns all of its right, title and interest therein to the Agency, including but not limited to any copyright interest. In addition, the Agency reserves the right to use, duplicate and disclose in whole, or in part, in any manner and for any purpose whatsoever all such data, documents, graphic displays, reports or other materials delivered to the Agency pursuant to this Agreement and to authorize others to do so.

To the extent that the Consultant utilizes any of its property (including, without limitation, any hardware or software of Consultant or any proprietary or confidential information of Consultant or any trade secrets of Consultant) in performing services hereunder, such property shall remain the property of Consultant, and the Agency shall acquire no right or interest in such property.

14. ASSIGNMENT PROHIBITED

The Consultant shall not assign, transfer, convey, or otherwise dispose of its rights, title or interest in or to this Agreement or any part thereof without the previous written consent of the Agency.

15. REPRESENTATIVES OF THE PARTIES AND SERVICE OF NOTICES

- 15.1. **Designated Representatives** – The Agency representative designated below shall be the principal representative of the Agency for purposes of the Services that are the subject of this Agreement. Consultant shall designate, in writing, Consultant's project engineer and/or project manager for the performance of the

Zone 7 Water Agency

Appendix A - Sample Agreement and Insurance Requirements

RFP No. 2024-08

Services under this Agreement, which designation shall be subject to the Agency's reasonable approval.

The representatives of the Parties who are authorized to administer this Agreement and to whom formal notices, demands and communications shall be given are as follows:

ZONE 7 WATER AGENCY

Representative:

Name

Title

Zone 7 Water Agency

100 N. Canyons Parkway

Livermore, CA 94551

Consultant:

Name

Title

Firm Name

Address

Firm Tax ID

- 15.2. **Notices** - Formal notices, demands and communications to be given hereunder by either Party shall be made in writing and may be affected by personal delivery or fax or by registered or certified mail, postage prepaid, return receipt requested to the address set out below and shall be deemed communicated as of the date of mailing. If the name or address of the person to whom notices, demands or communications shall be given changes, written notice of such change shall be given, in accordance with this section within five (5) working days.

16. MISCELLANEOUS PROVISIONS

- 16.1. **Integration** – This Agreement represents the complete Agreement of the parties and supersedes any other Agreements between the parties, whether written or oral.
- 16.2. **No Waiver** – No waiver by either party of any term or condition of this Agreement shall be a continuing waiver thereof.
- 16.3. **Modification** – This Agreement only may be amended in writing, signed by all parties.
- 16.4. **Attorneys' Fees** – In any proceeding to enforce this Agreement, the prevailing party shall be entitled to attorneys' fees and costs in any amount determined by the court.

Zone 7 Water Agency

Appendix A - Sample Agreement and Insurance Requirements

RFP No. 2024-08

- 16.5. Choice of Laws/Venue – This Agreement shall in all respects be governed by the laws of the State of California applicable to Agreement executed and to be wholly performed with the State. Any action regarding this Agreement shall be brought in Alameda County Superior Court.
- 16.6. Counterparts – This Agreement may be executed in separate counterparts that, together, shall constitute and be one and the same instrument.
- 16.7. No Third Party Beneficiaries – This Agreement is for the sole benefit of the parties hereto and their permitted assigns (if any), and nothing herein expressed or implied shall give or be construed to give to any person, other than the parties hereto and such assigns any legal or equitable rights hereunder.
- 16.8. No Presumption Regarding Drafter – The parties to this Agreement acknowledge that its terms and provisions have been negotiated and discussed among them and that it reflects their mutual agreement regarding its subject matter. Therefore, neither party shall be deemed to be the drafter of this Agreement nor shall there be no presumption for or against the drafter in its interpretation or enforcement.

IN WITNESS WHEREOF, the Parties have executed this Agreement at the place and as of the date first written above.

ALAMEDA COUNTY FLOOD CONTROL and WATER
CONSERVATION DISTRICT, Zone 7, commonly known as
ZONE 7 WATER AGENCY ("Agency")

Consultant

Valerie L. Pryor
General Manager

Date

Signature

Date

Print Name & Title

Address

Telephone

TIN or SS Number

INSURANCE

This is an appendix attached to, and made a part of, the Services Agreement dated _____ (“Agreement”) between THE ALAMEDA COUNTY FLOOD CONTROL AND WATER CONSERVATION DISTRICT, ZONE 7 commonly known as ZONE 7 WATER AGENCY (“District”) and _____ (“Consultant”), for the provision of services agreement (“Services”).

Minimum Insurance Requirements: Consultant shall procure and maintain for the duration of the contract insurance against claims for injuries or death to persons or damages to property which may arise from or in connection with the performance of the work hereunder and the results of that work by the Consultant, his agents, representatives, employees or sub-contractors.

Coverage - Coverage shall be at least as broad as the following:

1. **Commercial General Liability (CGL)** - Insurance Services Office (ISO) Commercial General Liability Coverage (Occurrence Form CG 00 01) including products and completed operations, property damage, bodily injury, personal and advertising injury with limit of at least two million dollars (\$2,000,000) per occurrence or the full per occurrence limits of the policies available, whichever is greater. If a general aggregate limit applies, either the general aggregate limit shall apply separately to this project/location (coverage as broad as the ISO CG 25 03, or ISO CG 25 04 endorsement provided to the District) or the general aggregate limit shall be twice the required occurrence limit.
2. **Automobile Liability** - Insurance Services Office (ISO) Business Auto Coverage (Form CA 00 01), covering Symbol 1 (any auto) or if Consultant has no owned autos, Symbol 8 (hired) and 9 (non-owned) with limit of one million dollars (\$1,000,000) for bodily injury and property damage each accident.
3. **Workers' Compensation Insurance** - as required by the State of California, with Statutory Limits, and Employer's Liability Insurance with limit of no less than \$1,000,000 per accident for bodily injury or disease. Waiver of Subrogation: The insurer(s) named above agree to waive all rights of subrogation against the District, its elected or appointed officers, officials, agents, authorized volunteers and employees for losses paid under the terms of this policy which arise from work performed by the Named Insured for the Agency; but this provision applies regardless of whether or not the District has received a waiver of subrogation from the insurer.
4. **Professional Liability** - (Also known as Errors & Omission – *Technology Exposure – Other Contractual Considerations) Insurance appropriate to the Consultant profession, with limits no less than \$1,000,000 per occurrence or claim, and \$2,000,000 policy aggregate.

If Claims Made Policies:

1. The Retroactive Date must be shown and must be before the date of the contract or the beginning of contract work.

2. Insurance must be maintained and evidence of insurance must be provided for at least five (5) years after completion of the contract of work.
3. If coverage is canceled or non-renewed, and not replaced with another claims-made policy form with a Retroactive Date prior to the contract effective date, the Consultant must purchase "extended reporting" coverage for a minimum of five (5) years after completion of contract work.
5. **Cyber Liability Insurance (Technology Professional Liability – Errors and Omissions)**, with limits not less than \$2,000,000 per occurrence or claim, and \$2,000,000 aggregate or the full per occurrence limits of the policies available, whichever is greater. Coverage shall be sufficiently broad to respond to the duties and obligations as is undertaken by Vendor in this Agreement and shall include, but not be limited to, claims involving infringement of intellectual property, including but not limited to infringement of copyright, trademark, trade dress, invasion of privacy violations, information theft, damage to or destruction of electronic information, release of private information, alteration of electronic information, extortion and network security. The policy shall provide coverage for breach response costs as well as regulatory fines and penalties as well as credit monitoring expenses with limits sufficient to respond to these obligations.

If the Consultant maintains broader coverage and/or higher limits than the minimums shown above, the District requires and shall be entitled to the broader coverage and/or higher limits maintained by the Consultant. Any available insurance proceeds in excess of the specified minimum limits of insurance and coverage shall be available to the District.

Other Required Provisions - The general liability policy must contain, or be endorsed to contain, the following provisions:

1. **Additional Insured Status:** Zone 7 Water Agency, its directors, officers, employees, and authorized volunteers are to be given insured status (at least as broad as ISO Form CG 20 10 10 01), with respect to liability arising out of work or operations performed by or on behalf of the Consultant including materials, parts, or equipment furnished in connection with such work or operations.
2. **Primary Coverage:** For any claims related to this project, the Consultant's insurance coverage shall be primary at least as broad as ISO CG 20 01 04 13 as respects to the Zone 7 Water Agency, its directors, officers, employees and authorized volunteers. Any insurance or self-insurance maintained by the Member Water Agency its directors, officers, employees and authorized volunteers shall be excess of the Consultant's insurance and shall not contribute with it.

Notice of Cancellation: Each insurance policy required above shall provide that coverage shall not be canceled, except with notice to the District.

Self-Insured Retentions - Self-insured retentions must be declared to and approved by the District. The District may require the Consultant to provide proof of ability to pay losses and related investigations, claim administration, and defense expenses within the retention. The policy language shall provide, or be endorsed to provide, that the self-insured retention may be satisfied by either the named insured or the District.

Zone 7 Water Agency

Appendix A - Sample Agreement and Insurance Requirements

RFP No. 2024-08

Acceptability of Insurers - Insurance is to be placed with insurers having a current A.M. Best rating of no less than A: VII or as otherwise approved by the District.

Verification of Coverage – Consultant shall furnish the District with certificates and amendatory endorsements or copies of the applicable policy language effecting coverage required by this clause. All certificates and endorsements are to be received and approved by the District before work commences. However, failure to obtain the required documents prior to the work beginning shall not waive the Consultant’s obligation to provide them. The District reserves the right to require complete, certified copies of all required insurance policies, including policy Declaration pages and Endorsement pages.

Sub-contractors - Consultant shall require and verify that all sub-contractor maintain insurance meeting all the requirements stated herein, and Consultant shall ensure that Zone 7 Water Agency, its directors, officers, employees, and authorized volunteers are an additional insured are an additional insured on Commercial General Liability Coverage.

SAMPLE